INTOSAI Task Group on INTOSAI Auditor Certification (TGIAC)

Sequence of events

2014 Development of whitepaper

Nov 2014 INTOSAI Governing Board consideration

June 2015 Workshop on development of competency framework

2015 Participation in common forum processes

2015/16 Regional work-sessions

Status report to the 67th GB

2016 and + INCOSAI/ Piloting / certification concept
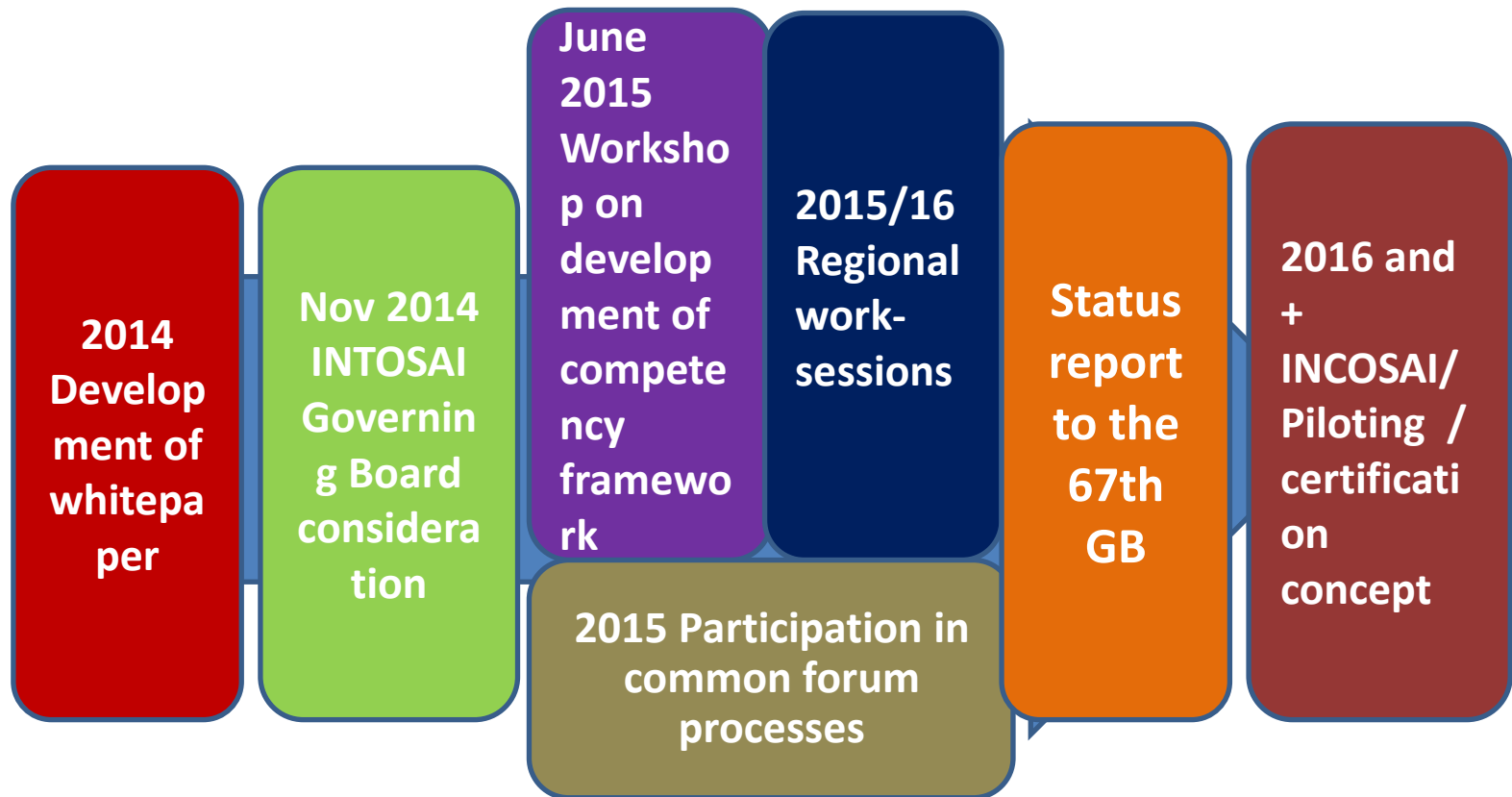
2014 INTOSAI Governing Board resolution

- 66th Governing Board endorsement of –

▪ **further research on certification, taking into account various SAI models and relevant external stakeholders**

▪ **development of a competency framework and a pilot model**

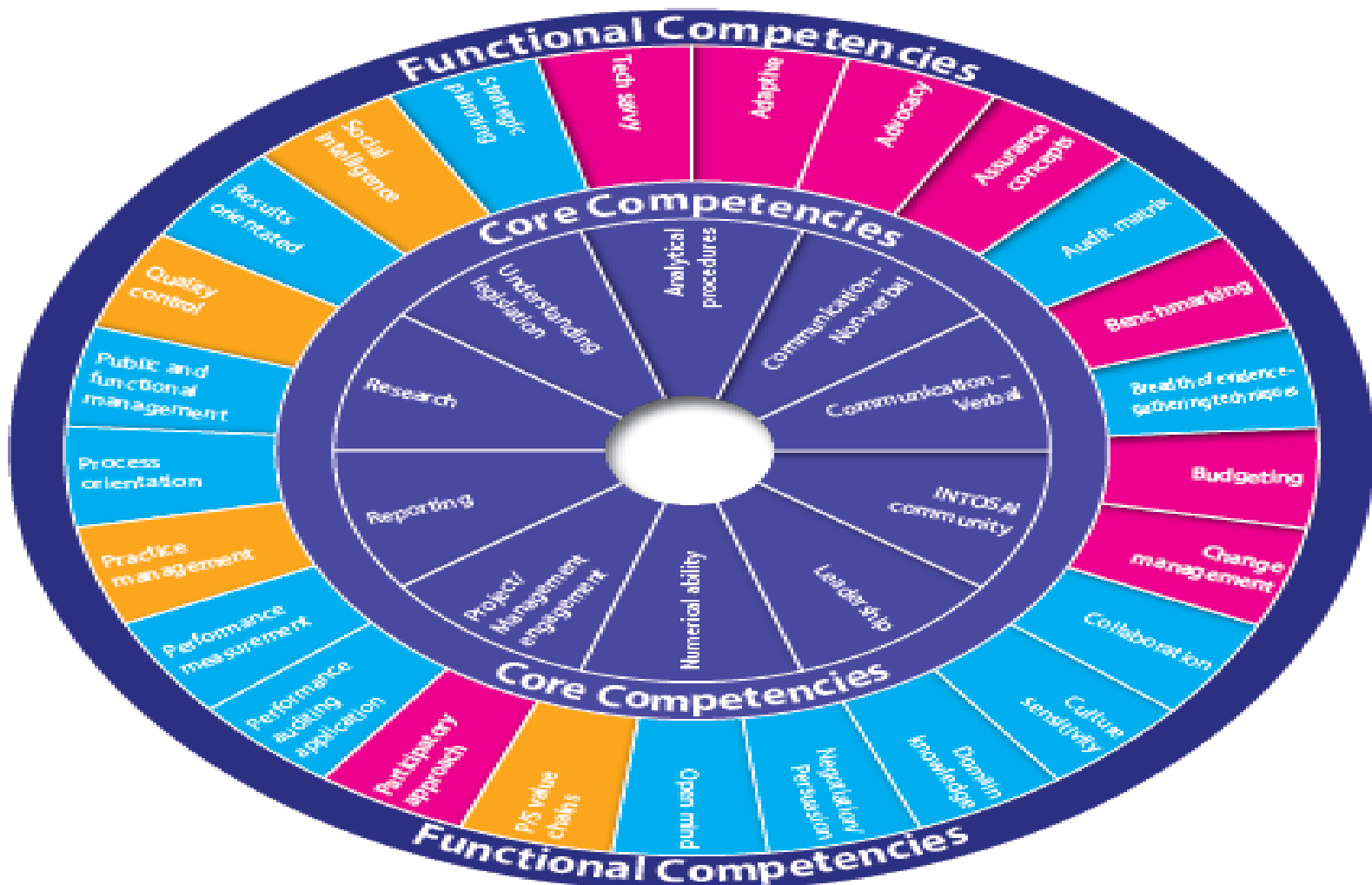▪ **cooperation with goals chairs to strengthen INTOSAI standard-setting**

June 2015 Work-session

Objectives:

- Analyse, define and standardise core competency framework concepts,

- Agree on an outline framework of the proposed core competency framework, and

- Define enabling processes to take this forward

Guiding principles

1. Global profession, local solutions

2. Use of a laddering methodology

3. ISSAI based

4. Leverage existing frameworks

5. Focus on the three Audit Streams (C,F,P)

6. Freedom within a framework approach

Functional Competencies

Core Competencies

- Understanding legislation
- Analytical procedures
- Communication – Non-verbal
- Communication – Verbal
- Research
- INTOSAI community
- Reporting
- Leadership
- Project Management
- Audit engagement
- Numerical ability

Core Competencies

Functional Competencies

Strategic planning · Tech savvy · Adaptive · Advocacy · Assurance concepts · Audit matrix · Social Intelligence · Results orientated · Benchmarking · Quality control · Breadth of evidence-gathering techniques · Public and functional management · Budgeting · Process orientation · Change management · Practice management · Collaboration · Performance measurement · Culture sensitivity · Performance auditing application · Domain knowledge · Participatory approach · Negotiation/ Persuasion · P's value chains · Open mind

Legend:
- Financial Competency
- Compliance Competency
- Performance Competency
- Common to all streams

Participation in the Common Forum Process

- *This process created an ideal opportunity to confirm that requirements around education, training and capacity development in the INTOSAI context should also form part of any future developments regarding INTOSAI standard setting.*

2016 and beyond

Set of draft competency frameworks

Paper on enabling standards/guidance for education & training

Pilot project plan for the certification

Muchas Gracias